Docket No.

294926US0PCT





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Andre BURGHARDT, et al.

SERIAL NO:

10/591,283

GAU:

FILED:

August 31, 2006

EXAMINER:

FOR:

CARBODIIMIDES COMPRISING THIOCARBAMIDE ACID ESTER GROUPS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Surinder Sachar

Registration No. 34,423

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

NOV 2 9 2006 SHEET 1 OF 1

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 294926US0PCT	ANS CONTRACTOR	SERIAL NO. 10/591,283			
				APPLICANT			
LIST OF	REFE	RENCES CITED BY APP	PLICANT	Andre BURGHARDT, et al.			
				FILING DATE		GROUP	
				August 31, 2006			
U.S. PATENT DOCUMENTS							
EXAMINER		DOCUMENT	T		T	SUB	FILING DATE
INITIAL		NUMBER	DATE	NAME	CLASS	CLASS	IF APPROPRIATE
	AA	5 859 166	01/12/1999	EIJI SASAKI et al. (equivalent of US 5 688 875)			
	AB						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT	DATE	COUNTRY		TRANSLATION	
		NUMBER				YES	NO NO
	AO		07/12/2001	DE (equivalent of US 2003 0088030 and US 2003 0220462)			NO
	AP		10/27/1999	EP (equivalent of US 6 121 406)			NO
	AQ	 	05/17/2001	DE (equivalent of US 6 730 807)			NO
	AR	195 21 500	06/05/1996	DE (with English abstract)			NO
	AS	0 937 563	08/25/1999	EP (equivalent of DE 19 807 754 and C 075)	A 2 262		NO
	AT	37 20 860	01/05/1989	DE (equivalent of US 4 931 494)			NO
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	АХ						
	AY						
	AZ				Additional References sheet(s) attached		
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

U.S. PCT Application Serial No: 10/591,283

Filed: August 31, 2006

Andre BURGHARDT, et al.

Docket No. 294926 US

STATEMENT OF RELEVANCY

- 1) References AA & AO-AP have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AQ-AT are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.